## ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.
lacknowledge the duty to disclose information which is material to patentability as defined in 37, Code of Federal Regulations, § 1.56
(also check the following item, if desired)
[ ] In compliance with this duty there is attached an information disclosure statement in accordance with 37 CFR 1.98.

## PRIORITY CLAIM (35 U.S.C. § 119)

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.
(complete (d) or (e))
(d) $[x]$ no such applications have been filed.
(e) [ ] such applications have been filed as follows.

NOTE: . Where item (c) is entered above and the Intemational Application which designated the U.S. itself claimed priority check item (e), enter the details below and make the prionity claim.
A. PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS ( 6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. S 119

| COUNTRY (OR INDICATE IF PCT) | APPLICATION NUMBER | DATE OF FILING <br> (day, month, year) | PRIORITY CLAIMED UNDER 37 USC 119 |  |
| :---: | :---: | :---: | :---: | :---: |
|  |  |  | [ ]YES | NO[ $]$ |
|  |  |  | [ ]YES | NO [ $]$ |
|  |  |  | [ JYES | NO [ $]$ |
|  |  |  | [ JYES | NO[ 1 |
|  |  |  | 1 ]YES | NO [ 1 |



Serial No.:
Filed:
For:
GERD Treatment Apparatus and Method

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

09/911,874
24 July 2001

Edwards et al. Docket No.: 9222.16792-CON

Commissioner for Patents
Washington, DC 20231

## AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

STATUS
2. Applicant is
[x] a small entity
. [ ] other than a small entity.

Examiner: M. Peffley
Group Art Unit: 3739

## CERTIFICATE OF MAILING (37 CFR 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United State Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the: Commissioner of Patents, Washington, DC 20231.

Date: $\qquad$
Linda S. Wenzel
Type ofprint name of person mailing paper
(Signature of person mailing paber)

## FEE FOR CLAIMS

4. The fee for claims (37 CFR 1.16(b)-(d)) has been calculated as shown below:

|  | Claims <br> Remaining <br> After <br> Amendment | Highest No. Previously Paid For | Present Extra | Rate | Additional Fee (Small Entity) | Additional Fee <br> (Large Entity) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\begin{aligned} & \text { Total Claims } 37 \text { CFR } \\ & 1.16(\mathrm{c})^{\star} \end{aligned}$ | 82 | -82 = | 0 | $\times \$ 9.00$ | \$0 | \$0 |
| Independent Claims (37 <br> CFR 1.16 (b) ${ }^{* *}$ | 4 | -2 = | 2 | x \$ 42.00 | \$84 | \$168 |
| First Presentation of Multiple Dependent claim(s) if any ( 37 CFR 1.16(d)) |  |  |  | \$140.00 | \$0 | \$0 |
| Total Additional Fee |  |  |  |  | \$84 | \$168 |

* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".
** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".
The "Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

WARNING: "After final rejection or action (S 1.113) amendments may be made cancelling claims or complying with any requirement of form which has been made." 37 CFR S 1.116(a) (emphasis added).
(complete (c) or (d) as applicable)
(c) [ ] No additional fee for claims is required.

## OR

(d) $[x] \quad$ Total additional fee for claims required $\$$ $\qquad$ 84.00

## FEE PAYMENT

5. [ $x$ ] Attached is a check in the sum of $\$ 729.00$ (includes IDS transmittal)

[ ] Charge Account No. $\qquad$ the sum of \$ $\qquad$ .

A duplicate of this transmittal is attached.

## FEE DEFICIENCY

NOTE: If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).
6. [ x ] If any additional extension and/or fee is required, charge Account No. 06-2360.

AND/OR
[x] If any additional fee for claims is required charge Account No. $\qquad$
 SIGNATURE OF ATTORNEY

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CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the United States Postal Service, postage prepaid, in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231 on 16 May 2002:

Date $\qquad$ 16 may 2002 By


